

11-21-01

A

11/20/01  
JC839 U.S. PTO

JC986 U.S. PTO  
09/989367  
11/20/01

**UTILITY PATENT APPLICATION TRANSMITTAL**  
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Packet No. : 41281/NJP/B600  
Inventor(s) : Oscar E. Agazzi  
Title : METHOD AND SYSTEM TO IDENTIFY AND CHARACTERIZE  
NONLINEARITIES IN OPTICAL COMMUNICATIONS CHANNELS  
Express Mail Label No. : EL904745463US

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

Date: November 20, 2001

1. ☒ **FEE TRANSMITTAL FORM** (Submit an original, and a duplicate for fee processing).

2. **IF A CONTINUING APPLICATION**

\_\_\_\_\_ This application is a \_\_\_\_\_ of patent application No. \_\_\_\_\_

Prior application information: Examiner ; Group Art Unit:

☒ This application claims priority pursuant to 35 U.S.C. §119(e) and 37 CFR §1.78(a)(4), to provisional and 60/253,207, filed November 27, 2000 and Application No. 60/252,711, filed November 22, 2000

3. **APPLICATION COMPRISED OF**

**Specification**

38 Specification, claims and Abstract (total pages)

**Drawings**

16 Sheets of formal drawing(s) (FIGS. 1 to 15)

**Declaration and Power of Attorney**

☒ Newly executed  
\_\_\_\_\_ Unexecuted declaration  
\_\_\_\_\_ Copy from a prior application (37 CFR 1.63(d))(for continuation and divisional)

4. \_\_\_\_\_ **Microfiche Computer Program** (Appendix)

5. \_\_\_\_\_ **Nucleotide and/or Amino Acid Sequence Submission** (if applicable, all necessary)

\_\_\_\_\_ Computer Readable Copy  
\_\_\_\_\_ Paper Copy (identical to computer copy)  
\_\_\_\_\_ Statement verifying identity of above copies

6. **APPLICANT(S) STATUS UNDER 37 CFR § 1.27**

\_\_\_\_\_ Applicant(s) and any others associated with it/them under § 1.27(a) are a SMALL ENTITY

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Only for new nonprovisional applications under 37 CFR 1.53(b))**

Docket No.: 41281/NJP/B600

**7. ALSO ENCLOSED ARE**

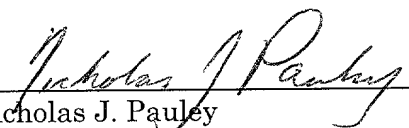
- ☐ Preliminary Amendment
  - ☐ Includes "Cross-Reference to Related Applications"
- ☐ A Petition for Extension of Time for the parent application and the required fee are enclosed
- ☒ An Assignment of the invention with the Recordation Cover Sheet and the recordation fee are enclosed
- ☐ This application is owned by pursuant to an Assignment recorded at Reel , Frame
- ☐ Information Disclosure Statement (IDS)/PTO-1449
  - ☐ Copies of IDS Citations
- ☐ Certified copy of Priority Document(s) (*if foreign priority is claimed*)
- ☐ English Translation Document (*if applicable*)
- ☒ Return Receipt Postcard (MPEP 503) (should be specifically itemized).
- ☐ Other

**8. CORRESPONDENCE ADDRESS**

**CHRISTIE, PARKER & HALE, LLP, P.O. BOX 7068, PASADENA, CA 91109-7068**  
**Customer Number: 23363**

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By   
Nicholas J. Pauley  
Reg. No. 44,999  
626/795-9900

NJP/clc

**FEE TRANSMITTAL  
UTILITY PATENT APPLICATION**

**DATE: November 20, 2001**

Docket No. : 41281/NJP/B600  
Inventor(s) : Oscar E. Agazzi  
Title : METHOD AND SYSTEM TO IDENTIFY AND CHARACTERIZE  
NONLINEARITIES IN OPTICAL COMMUNICATIONS CHANNELS

**FEE DETERMINATION**

CLAIMS AS FILED					
	NUMBER FILED	NUMBER EXTRA	SMALL ENTITY RATE	LARGE ENTITY RATE	FEE
TOTAL CLAIMS	50 - 20	30	x \$9.00	30 x \$18.00	\$540.00
INDEPENDENT CLAIMS	8 - 3	5	x \$42.00	5 x \$84.00	\$420.00
MULTIPLE-DEPENDENT CLAIMS FEE			\$140.00	\$280.00	
BASIC FEE			\$370.00	\$740.00	\$740.00
TOTAL FILING FEE					\$1,700.00
List Independent Claims: 1, 11, 23, 30, 37, 40, 43 and 46					

**METHOD OF PAYMENT**

- ☒ Payment Enclosed: Check for \$1,700.00
- ☒ The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the **entire pendency** of the application to Deposit Account No. 03-1728. Please show our docket number with any charge or credit to our Deposit Account. **A duplicate copy of this sheet is enclosed.**

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By Nicholas J. Pauley  
Nicholas J. Pauley  
Reg. No. 44,999  
626/795-9900